



1FW

DOCKET NO.: UDL-0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Graham Heeks and Nigel Peter Fox

Application No.: 10/782,475

Filing Date: February 19, 2004

For: Valve Arrangement And Traffic Calming Device Incorporating Such An Arrangement

Confirmation No.: 4904

Group Art Unit: 3671

Examiner: Not Yet Known

DATE OF DEPOSIT: June 23, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Frank T. Carroll
REGISTRATION NO.: 42,392

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:
 - ☒ incorrectly entered; and/or
 - ☐ omitted.

Error in	Correct data
1. Applicant's name	1.
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4.
5. Application Number	5.
6. Foreign/PCT Application Re:	6.
7. Other: Assignment	7. Assignee should just be Autospan Limited. Please see attached assignments.

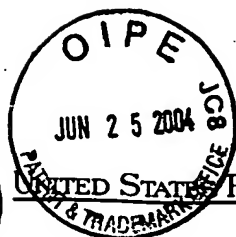
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: June 23, 2004



Frank T. Carroll
Registration No. 42,392

Woodcock Washburn LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (e) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/782,475	02/19/2004	3671	1060	UDL-0004	1	7	1

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CONFIRMATION NO. 4904

23377
 WOODCOCK WASHBURN LLP
 ONE LIBERTY PLACE, 46TH FLOOR
 1650 MARKET STREET
 PHILADELPHIA, PA 19103

MAY 20 2004

FILING RECEIPT



OC000000012689655

Woodcock Washburn

Date Mailed: 05/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Graham Heeks, Cheshire, UNITED KINGDOM;
 Nigel Peter Fox, Staffordshire, UNITED KINGDOM;

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MAY 20 2004

Assignment For Published Patent Application

Autospan Limited;
~~IMI Norgren Limited;~~

DOCKET DEPT
WWKMN

Domestic Priority data as claimed by applicant

This application is a DIV of 10/204,895 11/26/2002 PAT 6,726,399
 which is a CIP of 09/180,621 01/08/1999 ABN

Foreign Applications

UNITED KINGDOM 0004360.4 02/25/2000
 UNITED KINGDOM PCT/GB98/00748 03/12/1998
 UNITED KINGDOM 9705078.5 03/12/1997

If Required, Foreign Filing License Granted: 05/14/2004

Projected Publication Date: 08/26/2004

Non-Publication Request: No

Early Publication Request: No

Title

Valve arrangement and traffic calming device incorporating such an arrangement

Preliminary Class

404

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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JULY 16, 2003

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/03/2003

REEL/FRAME: 013801/0439
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IMI NORGREN LIMITED

DOC DATE: 02/14/2001

ASSIGNEE:

AUTOSPAN LIMITED
GREENGATE WORKS
GREENGATE, MANCHESTER
UNITED
KINGDOM
M3 7WS

SERIAL NUMBER: 10204895
PATENT NUMBER:

FILING DATE: 11/26/2002
ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
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Woodcock Washburn

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To The Honorable Commissioner of Patents and

102381027

original documents or copy thereof.

1. Name of conveying party(ies):

IMI Norgren Limited

February 14, 2001

First Assignor

Date of Execution

Second Assignor

Date of Execution

Third Assignor

Date of Execution

Fourth Assignor

Date of Execution

Fifth Assignor

Date of Execution

Sixth Assignor

Date of Execution

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

2. Name and address of receiving party(ies):

Name: Autospan Limited

Street Address: Greengate Works

City: Greengate, Manchester

Country: United Kingdom

ZIP: M3 7WS

Additional name(s) & address attached? ☐ YES ☒ NO

3. Nature of Conveyance (check only one):

☒ New Assignment☐ Merger☐ License Agreement☐ Security Agreement☐ Change of Name☐ Other:
☐ Cross-reference of Assignment filed in United States
 Application No. / , recorded on
 at Reel No. and Frame No.

4. Identification of Application number(s), patent number(s) OR date of execution of application to which assignment refers:

Application Nos.:

Patent Nos.:

Date application was signed by the first
named executing inventor

10/204,895

(Fill-in this date only if assignment is filed
together with a new application)5. Name and address of party to whom correspondence
concerning documents should be mailed:

Name: Richard E. Kurtz

WOODCOCK WASHBURN LLP

One Liberty Place - 46th Floor

Philadelphia, Pennsylvania 19103-7301

215-568-3100

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account Number
23-30508. Please charge any deficiency or credit any overpayment to
Deposit Account Number 23-3050

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.

Frank T. Carroll/42,392

Name of Person Signing/Reg. No.

UDL-0002

Signature

Date

Total number of pages including cover sheet, assignment document and additional pages attached thereto: 2

Mail documents to be recorded with required cover sheet information to:

 Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

THIS ASSIGNMENT is made the 14th day of February 2001 BETWEEN IMI Norgren Limited of PO Box 22, Eastern Avenue, Lichfield, Staffordshire WS13 6SB, United Kingdom (hereinafter called "the Assignor") of the one part and Autospan Limited of Greengate Works, Greengate, Manchester M3 7WS, United Kingdom (hereinafter called "the Assignee") of the other part.

WHEREAS


- A. The Assignor is entitled to the benefit of United Kingdom Patent Application No 004360.4 dated 25 February 2000 and entitled "Valve" (hereinafter referred to as "the Application").
- B. The parties hereto have agreed that the Application should be transferred by the Assignor to the Assignee for the consideration hereinafter set forth.

NOW THIS ASSIGNMENT WITNESSETH in consideration of the sum of five hundred pounds (£500) + Value Added Tax now paid by the Assignee to the Assignor (the receipt of which is hereby acknowledged) the Assignor with full title guarantee hereby assigns to the Assignee the Application, the right, title and interest therein and all the rights, powers, privileges and immunities arising or accrued therefrom (including but not limited to the right to file applications corresponding thereto anywhere in the world) free from all encumbrances to the intent that the grant of a patent pursuant to the Application (and the grant of a patent on any corresponding application elsewhere) shall be in the name of and shall vest in the Assignee.

TO HOLD unto the Assignee absolutely.

IN WITNESS whereof the Assignor and the Assignee have executed this Assignment the day and year first above written.

FOR AND ON BEHALF of
IMI Norgren Limited


.....
Company Secretary

FOR AND ON BEHALF of





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TRADEMARK OFFICE

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Rek/FC

UDL-0002

JULY 16, 2003

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Director of the United States Patent and Trademark Office
Washington, DC 20231
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WOODCOCK WASHBURN LLP
RICHARD E. KURTZ
ONE LIBERTY PLACE - 46TH FLOOR
PHILADELPHIA, PA 19103-7301

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RECORDATION DATE: 03/03/2003

REEL/FRAME: 013801/0453
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FOX, NIGEL

DOC DATE: 02/13/2001

ASSIGNEE:

IMI NORGREN LIMITED
P.O. BOX 22, EASTERN AVENUE
LICHFIELD, STAFFORDSHIRE
UNITED
KINGDOM
WS13 6SB

SERIAL NUMBER: 10204895
PATENT NUMBER:

FILING DATE: 11/26/2002
ISSUE DATE:

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ASSIGNMENT DIVISION
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Valerie Chiochi
Woodcock Washburn

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To The Honorable Commissioner of Patents and Trademarks

all documents or copy thereof.

1. Name of conveying party(ies):

102381026

conveying party(ies):

Nigel Fox

February 13, 2001

First Assignor

Date of Execution

Second Assignor

Date of Execution

Third Assignor

Date of Execution

Fourth Assignor

Date of Execution

Fifth Assignor

Date of Execution

Sixth Assignor

Date of Execution

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

Name: IMI Norgren Limited

Street Address: P.O. Box 22, Eastern Avenue

City: Lichfield, Staffordshire

Country: United Kingdom

ZIP: WS13 6SB

Additional name(s) & address attached? ☐ YES ☒ NO

3. Nature of Conveyance (check only one):

☒ New Assignment☐ Merger☐ License Agreement☐ Security Agreement☐ Change of Name☐ Other:☐ Cross-reference of Assignment filed in United States
Application No. / recorded on
at Reel No. and Frame No.

4. Identification of Application number(s), patent number(s) OR date of execution of application to which assignment refers:

Application Nos.:

Patent Nos.:

Date application was signed by the first
named executing inventor

10/204,895

(Fill-in this date only if assignment is filed
together with a new application)5. Name and address of party to whom correspondence
concerning documents should be mailed:Name: Richard E. Kurtz
WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, Pennsylvania 19103-7301
215-568-3100

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account Number
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Deposit Account Number 23-3050

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.

Frank T. Carroll/42,392

Name of Person Signing/Reg. No.

Signature

Date

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Total number of pages including cover sheet, assignment document and additional pages attached thereto: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

THIS ASSIGNMENT is made the 13th February 2001 BETWEEN Nigel Fox of 84 Flaxley Road, Rugeley, Staffordshire, WS15 1LZ, United Kingdom (hereinafter called "the Assignor") of the one part and IMI Norgren Limited of P.O. Box 22, Eastern Avenue, Lichfield, Staffordshire, WS13 6SB, United Kingdom (hereinafter called "the Assignee") of the other part.

WHEREAS

- A. The Assignor is the inventor of the invention described in United Kingdom Patent Application No. 004360.4 dated 25 February 2000 and entitled "Valve" (hereinafter referred to as "the Application").
- B. The parties hereto have agreed that the Application should be transferred by the Assignor to the Assignee for the consideration hereinafter set forth.

NOW THIS ASSIGNMENT WITNESSETH in consideration of the sum of one Pound Sterling now paid by the Assignee to the Assignor (the receipt of which is hereby acknowledged) the Assignor with full title guarantee hereby assigns to the Assignee the Application, the right, title and interest therein and all the rights, powers, privileges and immunities arising or accrued therefrom (including but not limited to the right to file applications corresponding thereto anywhere in the world) free from all encumbrances to the intent that the grant of a patent pursuant to the Application (and the grant of a patent on any corresponding application elsewhere) shall be in the name of and shall vest in the Assignee.

TO HOLD unto the Assignee absolutely.

IN WITNESS whereof the Assignor has executed this Assignment the day and year first above written.



Nigel Fox



UNITED STATES
PATENT AND
TRADEMARK OFFICE

U DL-0003

HP
MER/FT

JULY 15, 2003

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Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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RICHARD E. KURTZ
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PHILADELPHIA, PA 19103-7301

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RECORDATION DATE: 03/03/2003

REEL/FRAME: 013796/0986
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
HEEKS, GRAHAM

DOC DATE: 02/14/2001

ASSIGNEE:
AUTOSPAN LIMITED
GREENGATE WORKS
GREENGATE, MANCHESTER
UNITED
KINGDOM
M3 7WS

SERIAL NUMBER: 10204895
PATENT NUMBER:

FILING DATE: 11/26/2002
ISSUE DATE:

LAZENA MARTIN, EXAMINER
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3-3-03



102465474

To The Honorable Commissioner of Patents and Trademarks. Please record the assignment documents or copy thereof.

1. Name of conveying party(ies):

Graham Heeks **February 14, 2001**
 First Assignor Date of Execution

Second Assignor Date of Execution

Third Assignor Date of Execution

Fourth Assignor Date of Execution

Fifth Assignor Date of Execution

Sixth Assignor Date of Execution

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

2. Name and address of receiving party(ies):

Name: Autospan Limited

Street Address: Greengate Works

City: Greengate, Manchester

Country: United Kingdom ZIP: M3 7WS

Additional name(s) & address attached? ☐ YES ☒ NO

3. Nature of Conveyance (check only one):

☒ New Assignment ☐ Merger
☐ License Agreement ☐ Security Agreement
☐ Change of Name ☐ Other:

☐ Cross-reference of Assignment filed in United States
 Application No. / , recorded on
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4. Identification of Application number(s), patent number(s) OR date of execution of application to which assignment refers:

Application Nos.:

10/204,895

Patent Nos.:

Date application was signed by the first
 named executing inventor

(Fill-in this date only if assignment is filed
 together with a new application)

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Richard E. Kurtz**
WOODCOCK WASHBURN LLP
 One Liberty Place - 46th Floor
 Philadelphia, Pennsylvania 19103-7301
 215-568-3100

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to Deposit Account Number
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8. Please charge any deficiency or credit any overpayment to
 Deposit Account Number 23-3050

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank T. Carroll/42,392

Name of Person Signing/Reg. No.

UDL-0002

Signature

Date

Total number of pages including cover sheet, assignment document and additional pages attached thereto: 2

Mail documents to be recorded with required cover sheet information to:

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 Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

THIS ASSIGNMENT made the 14 day of February, 2001 BETWEEN Graham Heeks of Stone House, Wrinehill, Cheshire, CW3 9BY, United Kingdom, (hereinafter referred to as the "Assignor") of the one part and Autospan Limited of Greengate Works, Greengate, Manchester, M3 7WS, United Kingdom (hereinafter called "the Assignee") of the other part.

WHEREAS

- A. The Assignor is the inventor of the invention described in United States Patent Application No. 10/204,895 and entitled "Valve Arrangement and Traffic Calming Device Incorporating Such an Arrangement" (hereinafter referred to as "the Application").
- B. The parties hereto have agreed that the Application should be transferred by the Assignor to the Assignee for the consideration hereinafter set forth.

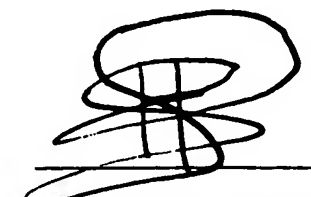
NOW THIS ASSIGNMENT WITNESSETH in consideration of the sum of one Pound Sterling now paid by the Assignee to the Assignor (the receipt of which is hereby acknowledged) the Assignor with full title guarantee hereby assigns to the Assignee the Application, the right, title and interest therein and all the rights, powers, privileges and immunities arising or accrued therefrom free from all encumbrances to the intent that the grant of a patent pursuant to the Application (and the grant of a patent on any corresponding continuations and divisionals shall be in the name of and shall vest in the Assignee.

TO HOLD unto the Assignee absolutely.

IN WITNESS WHEREOF the Assignor has executed this Assignment the day and year first above written.



Graham Heeks



Autospan Limited

By: **GRAHAM HECKS**
Position: **DIRECTOR**